



AAA Mediation.org™

**FN-4276845 MN-4276845 LN-4276845,  
Esq.**

**Charlotte, North Carolina**

**Profession**

Attorney, Mediator, Arbitrator

**Work History**

Member, Alexander Ricks PLLC, 2016 – 2023; Partner, Parker Poe Adams & Bernstein LLP, 2014 – 2016; Solo Practitioner, Alice Carmichael Richey PLLC, 2009 – 2014; Partner, KL Gates LLP (by merger with Kennedy Covington Lobdell & Hickman), 2008 – 2009; Associate/Partner, Kennedy Covington Lobdell & Hickman LLP, 1986 – 2008.

**Experience**

Over 37 years of experience representing companies and individuals in commercial litigation and arbitration, with significant jury trial and arbitration experience.

Matters have included claims for breach of contract, breach of fiduciary duty, fraud, misrepresentation, securities violations, false advertising, and unfair and deceptive trade practices; claims arising from a variety of business relationships, including disputes among franchisors and franchisees, members of limited liability and closely held companies, partners, shareholders (including minority shareholder issues), corporate governance and dissolution issues; financial services claims, including lender liability claims, and disputes between lenders, borrowers, and guarantors; claims regarding real property rights, including development agreements, easements, and landlord/tenant issues; employment claims involving covenants not to compete, non-solicitation agreements and trade secrets; personal and business tort claims involving defamation/disparagement, privacy, and right of publicity; and intellectual property disputes including licensing issues, and trademark, trade dress, and copyright infringement claims.

Clients have been in numerous industries including manufacturing, entertainment, publishing, technology, energy, banking, finance, real estate development, franchising, education, and insurance.

**Mediator Experience**

Have served as a mediator since 2009 (certified by the Dispute Resolution Commission of the State of North Carolina).

**Representative Issues  
Handled as a Mediator**

Have mediated matters involving shareholder and closely-held company disputes, trademark infringement, copyright infringement, defamation, real property disputes (including easements and other land rights), employment disputes (including covenants not to compete and trade secrets), unfair and deceptive trade practices, commercial and other contract disputes, insurance disputes, including claims of bad faith, banking negligence, franchise agreements, landlord/tenant disputes, securities fraud, trust and other fiduciary agreements, and claims of fraudulent and negligent misrepresentation.

**Mediator Style & Process  
Preferences**

Mediation is an opportunity for the parties to have control over the resolution of their conflict. My job as the mediator is to advocate for resolution, encouraging the parties to be patient, to listen, and to make good assessments and responsible decisions. I will remind the parties throughout the mediation to focus on how they can resolve their case, rather than how they can win it. Mediation permits creative resolutions not available in litigation or arbitration and crafting a creative resolution can sometimes bridge the parties' differences. Often, there is some interest or issue not readily apparent from the pleadings which is driving decision making. I want to understand those drivers

and prevent them from becoming impediments to resolution.

<b>Education</b>	University of North Carolina (JD, with honors, North Carolina Law Review, Note and Comment Editor – 1986; BA, with highest honors – 1982).
<b>Professional Licenses</b>	Admitted to the Bar: North Carolina (1986); US District Court: Western, Middle, and Eastern Districts of North Carolina; US Court of Appeals: 4th Circuit; US Supreme Court.
<b>Professional Associations</b>	American Bar Foundation; North Carolina Bar Association; North Carolina State Bar Association; Mecklenburg County Bar Association
<b>Recent Publications &amp; Speaking Engagements</b>	2019 Mecklenburg County Bar All Star Trial Advocacy CLE: Communicating with a Jury 2019 Intellectual Property Law Section and Sports & Entertainment Section Annual Meeting: Implementation of the Defend Trade Secrets Act of 2016 2018 Annual North Carolina Business Court CLE: Trade Secrets Panel 2018 Western District of North Carolina Federal Bar Association Annual Meeting and CLE: Preparing for Your Trial in Federal Court 2017 NCBA Business Law and International Law Practice Sections Joint Annual Meeting: The Defend Trade Secrets Act of 2016.
<b>Mediation Rate</b>	\$450 Per Hour
<b>Languages</b>	English
<b>Citizenship</b>	United States of America
<b>Locale</b>	Charlotte, NC

The AAA's Rules provide the AAA with the authority to administer a mediation including, mediator appointment, general oversight and billing. Accordingly, mediations that proceed without AAA administration are not considered AAA mediations, even when the parties select an mediator who is on the AAA's Roster.

The information contained in this resume has been supplied solely by the individual mediator and may, or may not, be a complete recitation of their experience. The AAA assumes no responsibility for the content, completeness, accuracy, or reliability of the information contained in a mediator's resume. If you have any questions about a mediator's experience or background, you are encouraged to contact your case manager.

Mediators on the AAA Roster are not employees or agents of the AAA.